

# Management Committee

## June 2012 MAG Federally Funded Local Project Status Report

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# MAG Federally Funded Local Project Status Report, Agenda Item #7

- *For information, discussion, and recommendation to approve federally funded projects to be deferred, deleted, and changed; and of the necessary amendments and administrative modification to the FY 2011-15 TIP, and as appropriate to the Regional Transportation Plan 2010 update.*

# MAG Federal Fund Programming Guidelines & Procedures

- Approved October 2011
- Section 500: Calls for bi-annual reports to be collected
- Workbooks were sent out in April with periodic reminders to member agencies
  - Workbooks were returned by May 31, 2012 deadline
    - Member agencies were contacted for clarification on project reporting and schedules.
    - Staff worked with ADOT to verify as needed

# Dynamic TIP Process

- Agencies that have projects in the TIP report bi-annually on the status of their projects. Agencies may request projects to be deferred, advanced, or deleted based on the policies.
- During the year programmed funds vary, and engaging in a Dynamic TIP process allows MAG to fully program Federal Funds.
- The MAG TIP is required to be fiscally constrained each year, in order to maintain this programmed level;
- There are three decision levels for each reporting cycle.
  - **Tier 1** Current year priorities are determined and approved
  - **Tier 2** Future year projects may advance if funding is available
  - **Tier 3** Multiple options; Add new projects, increase funding for projects, . . .

# Current Funding Authorization

- Federal Fiscal Year 2012
  - Continuing Resolution for SAFETEA-LU *Expired June 30, 2012*. A one week extension was signed on June 29, 2012.
  - Moving Ahead for Progress in the 21st Century (MAP-21) bill signed by President Obama on Friday, July 6, 2012. Program and funding levels (when published) are/will be evaluated and will be integrated into future reports.

# Current Funding Balances

- The January Tier 2 and Tier 3 Closeout was based on the reasonable assumption that full funding would be received for FFY2012.
- The MAG FFY2012 program was fully programmed at that time based on estimates from final voucher returns and authorization estimates.
  - MAG will use all of its CMAQ Obligation Authority for 2012.

# To Date

- ADOT Local Governments Section is reviewing project submittals and will continue to move projects through to federal authorization
- ADOT provides periodic updates on projects
- June estimate based on FFY12 project submittals shows an availability for FFY13 to program:
  - Estimated  $\approx$  \$11-14 M, based on the TIP, status report expected authorizations, and final voucher information

# June 2012 Report Summary

## June 2012 Project Status Report

	Programmed to Obligate	Deferral	Cancel	Changes	Advance	On Time
FFY 2012	30	2	0		N/A	28
FFY 2013	35	3	1	4	N/A	31
FFY 2014	34	1	1	1	TBD	32
<b>Total</b>	<b>99</b>	<b>6</b>	<b>2</b>	<b>5</b>	<b>0</b>	<b>92</b>

N/A= Not Available

TBD= To Be Determined

# June 2012 Project Details

## June 2012 Project Changes Report

	Programmed to Obligate	Deferrals	Canceled	Changes	Advance	On Time
FFY 2012	30	QNC12-804 SUR12-801C	0	TBA	N/A	28
FFY 2013	35	BKY10-801 BKY13-901 SCT13-902	ELM14-102 QNC13-902*	4	N/A	31
FFY 2014	34	ELM14-101	ELM14-102	1	TBD	32

\* Project being completed in combination with other project(s)

N/A= Not Available

TBD= To Be Determined

TBA= To Be Announced

# Improvement Since January

## January 2012 Report

Fiscal Year	% Deferrals	% Cancellations	% on Time
2012	28%	9%	64%
2013	22%	9%	65%
2014	NR	NR	NR

## June 2012 Report

Fiscal Year	% Deferrals	% Cancellations	% on Time
2012	7%	0%	93%
2013	9%	3%	89%
2014	3%	3%	94%

NR= No Report

# Commitment Letters

- All agencies that have FFY2013 projects programmed, have returned their commitment letters.
- Commitment Letters for FFY2014 projects are due from agencies to MAG in December 2012. Staff will send out notification reminders in July and with the workbooks in November.

# Dynamic TIP Process: Tier 1

- Review, comment, and recommendation to approve FFY 2013 projects completes Tier 1 of the Dynamic TIP Process.
- If there are any questions that can not be addressed at this meeting, a second meeting will be scheduled for updated information.
- With the recommendation for approval of the Project Status Report and related TIP amendments, all Tier 1 elements for FFY 2013 projects are complete. We can move to Tier 2 of the Dynamic TIP process.

# Dynamic TIP Process: Tier 2

**Tier 2** – To advance **CMAQ FFY2014** projects to obligate in FFY2013;  
Projects in this category must have completed milestones

- Construction projects requirements
  - Environmental clearance approved if the project is federally funded, otherwise the environmental clearance have been submitted.
  - Completed 60% Design/Engineering plans
  - For right of way purchases, properties are inventoried and appraisals are completed
- Procurement projects requirements:
  - environmental, right-of-way and project scoping documents needed to obtain the related clearance, and have been submitted

# Dynamic TIP Process: Tier 2

## Agency letters for FFY2013

- The agency is required to submit a letter signed by the agency engineer affirming that the requirements of the schedule (design, environmental, ROW, construction/procurement) can be met and that the agency has all resources readily available to complete the project based on the advanced schedule.
- This information is due to MAG by **August 1<sup>st</sup>, 2012** for the August TRC.

# Dynamic TIP Process: Tier 2

- August TRC meeting
  - At the August TRC meeting, available funding (currently \$11-14 M) and project milestone information will be presented, discussed, and recommendation to move Tier 2 projects (FFY2014) into the upcoming federal fiscal year (FFY2013) of the TIP
  - Recommendations from TRC move forward to Management Committee, Transportation Policy, and Regional Council in September

# TIER 3: Priorities

- For Tier 3 consideration: Funding available will be determined; which is dependent on Federal (reasonable expectation) amounts and ADOT ledgers, and MAG currently programmed projects.
- Current Estimate  $\approx$  \$11-14 M
  - Less any Tier 2 projects that advance
- Tier 3 priorities will be determined during the August/Sept committee process, beginning at TRC
- Once the priority is decided, the projects related to the Tier 3 priorities will be advanced through the committee process in the Sept/Oct committee process.

# TIER 3: Priorities, continued

- Tier 3 priorities can be, but are not limited to the following options:
  - Increase in federal funds to projects due to obligate in the upcoming FFY2013
  - Establish a list of projects to be funded with CMAQ. These projects have to be CMAQ eligible and ready to obligate in the upcoming FFY 2013:
    - Design projects, procurement, advance constructed or designed local projects, etc.
    - If there is a new construction project, it has to meet the milestone completion timelines identified in Tier 1 and Tier 2
  - Work with the Arizona Department of Transportation (ADOT) to advance ADOT projects and allow carry forward of MAG CMAQ funds in order to protect project funding and alleviate the need to delete projects later.

# TIER 3: Priorities, continued

- Any project information related to the Tier 2 priority determination is due to MAG by August 1<sup>st</sup> for August TRC, which will be forwarded to Management Committee and Regional Council in September for action.
- Please send requests to Steve Tate:  
[state@azmag.gov](mailto:state@azmag.gov)

# Proposed action for this item

- Recommend approval of the federal fund projects to be deferred, deleted and changed as published in the MAG Project Status Report June 2012.

*This action would complete Tier 1 of the Dynamic Programming Process.*

- Questions/Comments?