

MARICOPA ASSOCIATION OF GOVERNMENTS

INFORMATION SUMMARY... for your review

DATE:

April 19, 2011

SUBJECT:

Interim Closeout of the Federal Fiscal Year 2011 MAG Federally Funded Program

SUMMARY:

The Federal Fiscal Year (FFY) 2011 Closeout Process is underway. To defer a project from FFY 2011 to a later year, MAG member agencies must complete and submit a deferral notification form, and, depending on the number of times previously deferred, submit a justification letter. The deadline to notify MAG of project deferrals is ongoing; however, member agencies were requested to report project deferrals for a first analysis by Friday, April 15, 2011.

It is also requested that member agencies submit project requests to utilize these unused FFY 2011 funds. The requested deadline to submit projects requests for closeout was Thursday, April 14, 2011 at 5:00 pm; late project submittals were not allowed. Please refer to the attached materials for specific details including the closeout process schedule, the deferral notification form, the minimum justification letter requirements, and the project submittal form. All information is available on the MAG website: <http://www.azmag.gov/Projects/Project.asp?CMSID2=1140&MID=Transportation>.

PUBLIC INPUT:

None.

PROS & CONS:

PROS: An effective closeout process can allow for additional and accelerated transportation projects to be funded in the MAG region. Maximum utilization of current year federal funds can reduce the potential for future loss of federal funding.

CONS: There is no guarantee that sufficient funds will be available in the following fiscal year to cover any or all of the deferred projects. In addition, there is uncertainty regarding the amount of funding available for the FFY 2011 Closeout Process.

TECHNICAL & POLICY IMPLICATIONS:

TECHNICAL: Action to close out the FFY 2011 MAG federally funded program is needed to ensure that all MAG federal funds are fully used in a timely and equitable manner. These actions may include any necessary amendments or administrative adjustments to the FY 2011-2015 MAG TIP to allow the projects to proceed.

POLICY: Previously adopted MAG policies on the allocation of uncommitted and redistributed federal funds to projects have been followed.

ACTION NEEDED:

Information and discussion.

PRIOR COMMITTEE ACTIONS:

Management Committee: This item was on April 13, 2011, Management Committee agenda for information and discussion.

MEMBERS ATTENDING

- Carl Swenson, Peoria, Chair
- Charlie Meyer, Tempe, Vice Chair
- # Matt Busby for George Hoffman, Apache Junction
- Charlie McClendon, Avondale
- Stephen Cleveland, Buckeye
- Gary Neiss, Carefree
- Wayne Anderson for Usama Abujbarah, Cave Creek
- * Rich Dlugas, Chandler
- Spencer Isom, El Mirage
- Alfonso Rodriguez for Phil Dorchester, Fort McDowell Yavapai Nation
- Rick Davis, Fountain Hills
- Rick Buss, Gila Bend
- * David White, Gila River Indian Community
- Collin DeWitt, Gilbert
- Brent Stoddard for Ed Beasley, Glendale
- Mark Gaillard for John Fischbach, Goodyear

- Bill Hernandez, Guadalupe
- Darryl Crossman, Litchfield Park
- Christopher Brady, Mesa
- David Andrews for Jim Bacon, Paradise Valley
- Tom Remes for David Cavazos, Phoenix
- John Kross, Queen Creek
- * Bryan Meyers, Salt River Pima-Maricopa Indian Community
- * David Richert, Scottsdale
- * Mark Coronado, Surprise
- Chris Hagen for Reyes Medrano, Tolleson
- # Gary Edwards, Wickenburg
- Lloyce Robinson, Youngtown
- John Halikowski, ADOT
- Kenny Harris for David Smith, Maricopa Co.
- Bryan Jungwirth for David Boggs, Valley Metro/RPTA

- * Those members neither present nor represented by proxy.
- # Participated by telephone conference call. + Participated by videoconference call.

Transportation Review Committee: On March 30, 2011, the MAG staff apprised the TRC of the current status of the MAG Federal Fund program and the FFY 2011 Federal Fund Closeout Process. No action was taken.

MEMBERS ATTENDING

- Peoria: David Moody
- ADOT: Steve Hull
- * Avondale: David Fitzhugh
- Buckeye: Jose Heredia for Scott Lowe
- Chandler: Dan Cook for Patrice Kraus
- * El Mirage: Lance Calvert
- Fountain Hills: Randy Harrel
- Gila Bend: Eric Fitzer
- * Gila River: Doug Torres
- * Gilbert: Tami Ryall
- Glendale: Terry Johnson
- Goodyear: Cato Esquivel
- * Guadalupe: Gino Turrubiarres
- * Litchfield Park: Woody Scoutten

- Maricopa County: Clem Ligocki for John Hauskins
- Mesa: Jeff Martin for Scott Butler
- Paradise Valley: Bill Mead
- Phoenix: Wylie Bearup for Rick Naimark
- # Queen Creek: Kevin Johnson for Tom Condit
- RPTA: Bob Antilla for Bryan Jungwirth
- Scottsdale: Dave Meinhart
- Surprise: Bob Beckley
- Tempe: Robert Yabes for Chris Salomone
- Valley Metro Rail: John Farry
- * Wickenburg: Rick Austin
- Youngtown: Grant Anderson for Lloyce Robinson

EX-OFFICIO MEMBERS ATTENDING

- * Street Committee
- * ITS Committee: Nicolaas Swart, Maricopa Co.

- Bicycle/Pedestrian Committee: Katherine Coles, City of Phoenix
- Transportation Safety Committee: Julian Dresang, City of Tempe

- * Members neither present nor represented by proxy.
- + Attended by Videoconference # Attended by Audioconference

CONTACT PERSON:

Eileen Yazzie (602) 254-6300.

MAG FFY 2011 Closeout

2011

March	<p>1st: FFY11 Project Deferral Forms and Justification Memo requirements are available</p> <p>25th: Project Applications available for FFY2011 Closeout funds</p>
March - April	<p>Member agencies submit Project Deferral Forms and Justification Memos throughout March and April. Please make a best effort to submit before April 15</p>
April	<p>14th: 5:00 p.m. - Due Date and Time, for signed Project Applications for FFY2011 Closeout. Late Applications will not be accepted.</p> <p>TRC review/recommend/approve list of Deferred FFY 11 Federal funded projects</p>
May	<p>Managers, TPC and RC review/recommend/approve list of Deferred FFY 11 Federal funded projects</p> <p>TRC review/recommend/approve Interim FFY 2010 Closeout</p>
May - June	<p>Member agencies submit remaining Project Deferral Forms and Justification Memos throughout May and June.</p>
June	<p>Managers, TPC and RC review/recommend/approve Interim FFY 2011 Closeout</p> <p>24th: TRC review/recommend/approve Final FFY 2011 Closeout</p>
July	<p>Managers, TPC and RC review/recommend/approve Final FFY 2011 Closeout</p>

Project Deferral/Abandonment Form

Instructions:

Please use this form to request the deferral or abandonment of a MAG federally funded project and send an electronic copy to MAG. All project deferral requests require the completion of all three tabs in this excel workbook: DeferralApplication, ProjContactInfo, and Project Status Info.

If you are requesting that the project be deferred a second time or more, a justification letter must be submitted as well. Please review the Deferral Justification Letter Requirements. A member of the Transportation Review Committee or the Management Committee from your jurisdiction has the authority to transmit the deferral request form for the Federal FY 2011 Closeout.

Please make the best effort to submit this request before April 15, 2011. Please submit the completed form to Stephen Tate, via e-mail: fax: 602.254.6490, or mail: 302 N. 1st Avenue, Suite #300, Phoenix, AZ 85003. If there are questions, please contact Stephen M. Tate at 602.254.6300.

<mailto:state@azmag.gov>

Contact Information

Sponsoring Agency

Name of Requestor (Must be a TRC or Management Committee Member)

Phone Number

E-mail Address

Type of Request (Select One)

- Abandon Project
- Defer Project
- Other

Deferral Information

Requested Year

Number of Times including this time the project has been deferred.

Justification Memo Needed

Additional Information

Comments/Additional Information

Project Development Contact Information Form

Category		Information
TIP Information	Sponsoring Agency	
	MAG TIP ID	
	Federal Fiscal Year	
	Location	
	Work Description	
	CMAQ Funding	
Identification Numbers	ADOT TRACS	
	Federal Number	
Project Manager	Name	
	Title	
	Phone Number	
	E-Mail Address	
ADOT Project Manager Name (If Applicable)		

Project Development Status Information Form

Project Development Phase		Status (Select Only One)	Actual or Anticipated Completion Date	Comments
Design	Preliminary Project Assessment	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	Design Concept Report	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	30 Percent Plans	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	60 Percent Plans	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	95 Percent Plans	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	P5&E Package	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
Environmental Clearance	Hazmat Report	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	Biological Report	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	Cultural Report	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
	Environmental Document	<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
Right-of-way Clearance		<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
Utilities Clearance		<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
Materials Memo		<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
IGA/JPA		<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
Authorize Project		<input type="checkbox"/> Not Started <input type="checkbox"/> Not Applicable <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		



JUSTIFICATION LETTER REQUIREMENTS:

These requirements pertain only to locally sponsored, MAG federally funded projects (CMAQ funded projects) that are not included in one of the life-cycle programs and that have been previously deferred in the MAG Transportation Improvement Program (MAG). If a project in this category has never been deferred in the TIP, a justification letter is not needed.

Deferral Justification Letter Requirements

- Letter is to be printed on member agency letterhead
- Letter is to be signed by the Manager/Administrator of the jurisdiction or designated representative.
- Include the Name/Location of the Project.
- Include the TIP# of the Project.
- Include the amount of Federal Funds programmed for the project and the total cost of the project.
- Include the original year the project was programmed for.
- Include the total number of times the project has been deferred to date (excluding this request).
- Explain the status of the project in the ADOT - Local Government process.
- Explain in detail the reason for deferring the project.
- Explain how the requesting agency will commit to completing the project through the ADOT - Local Government process in the year it is requesting to defer to.

MAG staff is available to help with information and questions regarding the Deferral Justification Letter Requirements. Please contact Eileen Yazzie or Steve Tate at state@mag.maricopa.gov or (602) 254-6300.

Project Request Form for Federal FY 2011 Closeout

Instructions:

To submit a project that can utilize federal funds for the Federal FY2011 Closeout, please complete the fields below. Please complete Section B with the project information from the current TIP. If you are requesting a new project, please leave the TIP # blank. In Section C, please indicate the close out category and provide any additional information in the comment area. **Project requests for new projects or requests that result in the change in scope of an existing project in the TIP must complete an addendum for their modal category included in this spreadsheet .** All project requests require the completion of all three tabs in this excel workbook: CloseoutRequest, ProjContactInfo, and ProjectStatusInfo.

Applications for FFY2011 Closeout funds are due by Thursday, April 14, 2011 @ 5:00 p.m. under the name of the Transportation Review Committee or Management Committee member of the submitting agency. **Late requests will not be accepted.**

Please submit the completed form to Stephen Tate, via e-mail: fax: 602.254.6490, or mail: 302 N. 1st Avenue, Suite #300, Phoenix, AZ 85003. If there are questions, please contact Stephen M. Tate at 602.254.6300.

<mailto:state@azmag.gov>

Section A: Basic Information

Agency Name	
Name of Requestor	
Phone Number	
E-mail Address	
Request Type (Choose Only One)	<input type="checkbox"/> Create New 2011 CMAQ Funded Project <input checked="" type="checkbox"/> Increase CMAQ funding for a 2011 CMAQ Project <input type="checkbox"/> Advance and Increase Funding for a CMAQ Funded Project to <input type="checkbox"/> Add CMAQ Funding to a 2011 Non CMAQ Funded Project <input type="checkbox"/> Advance a Non CMAQ Funded Project to 2011 and Add CMAQ Funding

Section B: Project Request Details

TIP Identification Number			
Federal Fiscal Year	Chandler	This project will be programmed to FFY 2011 if the request is approved.	
Location	Consolidated Canal multi-use pathway at Germann and Chandler Heights		
Work Description	Install two pedestrian actuated signals (phase I)		
TIP Programming		Regional	Local
Programmed Funding			Total
Added/Increased			
Requested TIP Funding	-	-	-
Additional Information			

Project Development Contact Information Form

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TIP Information	Sponsoring Agency	
	MAG TIP ID	
	Federal Fiscal Year	
	Location	
	Work Description	
	CMAQ Funding	
IDNs	ADOT TRACS	
	Federal Number	
Project Manager	Name	
	Title	
	Phone Number	
	E-Mail Address	
ADOT Project Manager Name (If Applicable)		

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Materials Memo		<input type="checkbox"/> Not Applicable <input type="checkbox"/> Not Started <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
IGA/JPA		<input type="checkbox"/> Not Applicable <input type="checkbox"/> Not Started <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		
Authorize Project		<input type="checkbox"/> Not Applicable <input type="checkbox"/> Not Started <input type="checkbox"/> Under Development <input type="checkbox"/> Submitted for Review <input type="checkbox"/> Approved by Reviewing Agency		