

FY 2013

**Phoenix-Mesa Urbanized Area
Grant Programs**

**5310 Enhanced Mobility of Elderly
& Individuals with Disabilities
&**

Section 5307 Job Access and Reverse Commute

May 7th, 2013

City of Phoenix

PUBLIC TRANSIT DEPARTMENT

Handbook & Program Guidelines Overview

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- **OVERVIEW OF GRANT PROGRAMS**
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2013 Timeline Highlights

Application Assistance	May 21, 2013 9:00-4:00
Application Deadline	June 7, 2013 12:00 p.m.
Applicant Interviews	July 23, 2013
MAG Committee Process	July – September 2013
Selection Notification	October 2013
Availability of Funding	Early 2014
Vehicle Deliveries	TBD

PROGRAM UPDATES

WHAT'S NEW FOR 2013

- **New Federal Program Requirements**
- **Changes to the JARC Grant Program**
 - Development and Maintenance.
 - Reverse commute services in low income areas.
 - The services do not need to be exclusively for the target population.

PROGRAM UPDATES

WHAT'S NEW FOR 2013

Changes to the New Freedom & 5310 Grant Programs

- New Freedom–Section 5317 was repealed by MAP-21, funding is now eligible under Section 5310.
- New Freedom–Provides new public transportation services for persons with disabilities or provides alternatives beyond those required by ADA.
- 5310–Provides transportation service that meets the needs of the elderly and/or disabled.
- Applicants for the Phoenix-Mesa Urbanized Area now apply directly to the City of Phoenix.

PROGRAM UPDATES

WHAT'S NEW FOR 2013

Cross-Cutting Changes to FTA Programs

- Alcohol and Controlled Substance Testing (**JARC Only**)
- Agency Safety Plans
- Transit Asset Management (TAM) Plans
- COP will notify grantees of requirements upon receiving direction from FTA

OVERVIEW OF GRANT PROGRAMS

Enhanced Mobility for Elderly and Individuals with Disabilities / Job Access Reverse Commute Grant Programs					
Program	Projected Funding	Funding Allocation	Match	Eligible Projects	Eligible Applicants
5310 Elderly and Persons with Disabilities (5310)	\$1,428,848	55% Eligible for Capital /FORMERLY 5310 Projects	80/20 Match (formerly 90/10) for Capital & Mobility Management	<ul style="list-style-type: none"> • Mobility Management • Capital projects serving elderly and people with disabilities • No duplicate requests between 5310 and 5310-New Freedom 	<ul style="list-style-type: none"> • Private Non-profits • State or Local Gov't Authorities in absence of non-profits able or willing to perform work
5310 Elderly and Persons with Disabilities (FORMERLY 5317-NEW FREEDOM)	\$909,268	45% - Operating/ Formerly New Freedom Projects /Capital	50/50 Match for Operating 80/20 Match for Capital & Mobility Management	<ul style="list-style-type: none"> • Mobility Management • Capital projects serving elderly and people with disabilities • Operating projects serving elderly and people with disabilities • No duplicate requests between 5310 and 5310-New Freedom 	<ul style="list-style-type: none"> • Private Non-profits • State or Local Gov't Authorities • Public or Private Operators of Public Transportation
5307 JARC – Job Access and Reverse Commute (FORMERLY 5316-JARC)	\$1,856,800	No allocation requirement	50/50 Match for Operations 80/20 Match for Capital & Mobility Management	<ul style="list-style-type: none"> • New or Continuing Job Access Projects – must serve low income (Fixed route eligible) • Reverse commute – Must serve low income • Mobility management Projects 	<ul style="list-style-type: none"> • Private non-profits, • State or Local Gov't Authorities • Public or Private Operators of Public Transportation

ELIGIBILITY

Job Access and Reverse Commute

- Projects must be for Development or Maintenance of Transportation Services.
- Eligible applicants include private non-profit organizations, state or local governmental authority, and operators of public transportation services.
- Eligible activities include capital, operating and planning expenses related to providing access to jobs.

Examples of Activities

- Late-night and weekend service
- Guaranteed ride home service
- Shuttle service
- Expanding fixed-route public transit routes
- Demand-responsive van service
- Ridesharing and carpooling activities
- Supporting the administration & expenses related to voucher programs

ELIGIBILITY

Enhanced Mobility of Elderly & Individuals w/ Disabilities

- No less than 55% of the funds must be used for projects planned, designed, and carried out to meet the needs of seniors and individuals w/disabilities.
- 55% is a floor - Recipients may use more or all of their Section 5310 funds for these types of projects.

Examples of 5310 Projects

- Buses or Vans
- Radios and communication equipment
- Vehicle shelters
- Wheelchair lifts and restraints
- Vehicle rehabilitation; manufacture, or overhaul
- Computer hardware and software
- Supporting new mobility management and coordination programs

ELIGIBILITY

New Freedom 5310 Projects

- Be Creative!
- Recipients encouraged to develop innovative solutions to meet their communities needs.

Examples of Activities (see handbook for complete list):

- Purchasing vehicles and supporting accessible taxi, ride-sharing, and vanpooling programs; including staff training, administration, and maintenance.
- Providing paratransit services beyond minimum requirements (3/4 mile to either side of a fixed route).
- Making accessibility improvements to transit and inter-modal stations not designated as key stations.
- Supporting voucher programs for transportation services offered by human service providers.
- Supporting mobility management and coordination programs– to organize coordination activities for *more than one* operator-agency.
- Travel Training.

FUNDING

JARC

- No federal requirement to “set aside” a specific amount of funding for JARC.
- Funding now established by region through MAG programming guidelines.
- FY 2013 funding estimated to be \$1.8 million (could change based upon MAG committee decisions for 5307 funding shortfalls).

5310 and New Freedom

- Estimated to be approximately \$2.5 million for FY 2013.
- MAP-21 requires not less than 55% of the available amount be spent on the traditional 5310 Projects, which is approximately \$1.4 million.
- Actual funding amounts after FTA publishes the FY 2013 appropriation amounts.

EVALUATION CRITERIA

- Address the needs of target population – 25 pts
- Effective utilization of equipment/service – 25 pts
- Supports local coordination plan activities – 25 pts
- Management Capability – 25 pts

APPLICATION & SELECTION PROCESS

FY 2013 Competitive Selection Process

Application Assistance – Tuesday, May 21st, 2013

- Call DeDe w/MAG at 602-254-6300 to schedule 30 minute appointment

Application Deadline-Applications are due Friday, June 7th, 12:00 pm

- **No late submittals!**
- 1-original hardcopy with signatures, 1-photocopy, 1-electronic copy

Project Evaluation

- 1st Review - City of Phoenix review for federal compliance/eligibility
- 2nd Review - MAG Ad-hoc (EDPT) Committee for project ranking

Public Comment

- One week period for public comment
- Applicant's contact information & application may be posted online

APPLICATION & SELECTION PROCESS

Applicant Interviews – Tuesday, July 23rd, 2013

- Provides an opportunity for the applicant to present on their project and allows panel to ask the applicant questions based on their application.

Final Selection

- EDPT Committee scores are discussed and recommend projects for funding.

Approval Process

- Recommended projects submitted to MAG Human Services Committee, MAG Transit Committee, & MAG Management Committee and MAG Regional Council for final project approval.
- Approved projects sent to the Phoenix City Council for authorization.
- Execution of Grant Pass Through Agreements & disbursement of grant funds.
- Denied or conditionally funded projects may solicit feedback and/or appeal.

APPLICATION & SELECTION PROCESS

Appeal Process

- Letter of appeal within 14 calendar days after the postmarked date of decline notice.
- Appeal reviewed by the MAG EDPT Committee.
- Secondary Appeal : MAG committee process.

Entering into Agreement with City of Phoenix

Availability of Funding

APPLICANT REQUIREMENTS

Certifications and Assurances

- Form must be signed by Authorized Representative & Attorney.

Matching Funds

- Federal funds provide for up to 80% percent of capital and mobility management projects and 50% percent of operating projects.
- Must not be matched with other DOT funds, other Federal \$\$\$ is ok.

MAG's Human Services Coordinated Transportation Plan

- All projects are required to be included in the local coordinated human service-public transportation plan.
- Coordination is sharing resources to minimize redundancy of gaps; increase quality/accessibility of services, assist agencies fulfill mission.

Procurements

- All procurements must comply with all federal requirements –
Contact City of Phoenix staff with questions.

City of Phoenix Requirements

Eligible Expenses & Reimbursements

- Qualifying expenditures are reimbursed by the FTA—thru the City of Phoenix.
- Must be submitted with appropriate accompanying documentation.

Reporting Requirements

- Quarterly and annual progress reports will be required that include grant milestones, financial status, and program measures.
- Subrecipients who report no progress toward completing the grant funded activities in a quarter will be cautioned to expedite project implementation.
- Surplus funds from projects with remaining balances may be re-awarded to the next highest ranked, unfunded or under-funded projects.

Record Keeping and Audits

- Records must be maintained in an auditable manner during the period of contractual period & for six years after the date of completion of the project and/or release of the lien or disposition date of the vehicle.

Site Reviews

- Conducted periodically and without notice anytime during this period & will include an assessment of all applicable Federal, State, and City of Phoenix requirements.

Vehicle Procurements

Vehicles and Pricing

- **Cutaways With Lift – ADA Accessible:**
9 ambulatory passengers, Cost Estimate: \$62,000
- **Maxivans With Lift - ADA Accessible:**
8 ambulatory passengers, Cost Estimate: \$58,000
- **Maxivans Without Lift – Non-Accessible:**
12 ambulatory passengers, Cost Estimate: \$26,000
- **Minivans With Ramp – ADA Accessible:**
5 ambulatory passengers, Cost Estimate: \$50,000
- **Minivans Without Ramp – Non Accessible:**
5-7 ambulatory passengers, Cost Estimate: \$25,000

Asset Management

- **Vehicle Use & Purpose**
- **Vehicle Title and Lien**
- **Maintenance and Annual Inspection Requirements**
- **Reporting Change in Vehicle Status or Condition**
- **Required Insurance Coverage**
- **Driver Training Resources - 5310 Subrecipients**

Free training products from National RTAP.

CDs and workbooks available - self-paced or trainer taught.

More information at: <http://www.nationalrtap.org/>

APPLICANT RESOURCES

City of Phoenix Grant Resource Page:

<http://phoenix.gov/publictransit/grants.html>

City of Phoenix Subrecipient Resource Page:

<http://phoenix.gov/publictransit/status/index.html>

FTA Certifications and Assurances:

[www.fta.dot.gov/documents/2013 - Certifications and Assurances.pdf](http://www.fta.dot.gov/documents/2013_-_Certifications_and_Assurances.pdf)

FTA Moving Ahead for Progress in the 21st Century (MAP-21) Resources:

www.fta.dot.gov/map21

FTA Master Agreement:

<http://www.fta.dot.gov/documents/19-Master.pdf>

Human Services Coordination Transportation Plan – MAG:

http://www.azmag.gov/Documents/EaPWD_2012-05-01_Draft-FY2013-Human-Services-Coordination-Transportation-Plan.pdf

Interim MAP-21 Guidance Published 10/16/2012 in the Federal Register:

<http://www.gpo.gov/fdsys/pkg/FR-2012-10-16/pdf/2012-25152.pdf>

Job Access and Reverse Commute – FTA Circular:

www.fta.dot.gov/documents/FTA_C_9050.1_JARC.pdf

New Freedom – FTA Circular:

www.fta.dot.gov/documents/FTA_C_9045.1_New_Freedom.pdf

Section 5310 – FTA Circular:

www.fta.dot.gov/documents/C9070.1F.pdf

For agencies outside of the Phoenix-Mesa Urbanized Area, visit ADOT's grant page at:

http://www.azdot.gov/mpd/Transit_Programs_Grants/ProgGuide.asp

City of Phoenix

CONTACT INFORMATION

For inquiries on Phoenix-Mesa 5307-JARC & 5310 Programs

City of Phoenix Public Transit Department

302 North First Avenue, Suite 900

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Web site: *<http://phoenix.gov/publictransit/grants.html>*

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- **Final Video – Financial Wishes**
- **Closing Remarks**
- **Panel Questions?????**