

MAG FEDERAL FUND PROGRAMMING GUIDELINES & PROCEDURES UPDATE

STREET COMMITTEE

AUGUST 12, 2014

MAG FEDERAL FUND PROGRAMMING GUIDELINES & PROCEDURES: WHAT IS IT?

- Developed by the MAG Federal Fund Working Group (Managers).
- Approved by Regional Council October 2011.
- Crafted with guiding principals.
- Currently documented and tested.

Why is it?

- These Guidelines were developed to guide the application process, project change requests for projects with federal funds, the year end Closeout process, and other areas related to obligating federal aid local projects.

Funding

- The MAG RTP is made up of Federal (FHWA, FTA), Regional half-cent sales tax, State, Local and Private funding.
- The MAG Federal Fund Programming Guidelines & Procedures we will be updating deal specifically with the FHWA funding that is sub-allocated to the MAG region for programming.

What Funding & Programs?

- Federal Funding that is sub-allocated directly to MAG for Regional Programming that includes competitive programs and planning activities.
 - CMAQ & CMAQ 2.5
 - STP (noted in TIP as STP-MAG)
 - TAP (noted in TIP as TAP-MAG)
 - HSIP (noted in TIP as HSIP-MAG)

Competitive: Air Quality Driven Funding Programs

Estimated based on Federal Allocations

Estimated Congestion Mitigation and Air Quality (CMAQ) Breakout*

Year	CMAQ PM-2.5	RTP-FP (FMS+ITS)	Arterial-ITS-ALCP	Transit (TLCP)	Bicycle/Ped.	Air Quality
2014	\$ 664,116	\$ 8,753,569	\$ 6,141,248	\$ 16,453,044	\$ 7,791,135	\$ 6,691,210
2015	\$ 672,136	\$ 8,727,737	\$ 6,123,124	\$ 16,404,489	\$ 7,768,143	\$ 6,671,464
2016	\$ 672,136	\$ 8,727,737	\$ 6,123,124	\$ 16,404,489	\$ 7,768,143	\$ 6,671,464
2017	\$ 672,136	\$ 8,727,737	\$ 6,123,124	\$ 16,404,489	\$ 7,768,143	\$ 6,671,464
2018	\$ 672,136	\$ 8,727,737	\$ 6,123,124	\$ 16,404,489	\$ 7,768,143	\$ 6,671,464

Categories are tied to specific eligibility, future funding is estimated.

Estimated based on Federal Allocations

Lifecycle Programs Maricopa Co.

Limited Pinal Co.

Limited Subprograms

Estimated STP Breakout*

	Fwy/Hwy GAN Debt Service	Arterial - ALCP	Non Lifecycle (Pinal Co.)	MAG (UPWP)
2014	\$ 34,100,000	\$ 12,140,626	\$ 1,213,265	\$ 5,100,000
2015	\$ 34,100,000	\$ 11,685,534	\$ 1,213,265	\$ 5,400,000
2016	\$ 12,695,000	\$ 32,790,534	\$ 1,213,265	\$ 5,700,000
2017	\$ -	\$ 45,185,534	\$ 1,213,265	\$ 6,000,000
2018	\$ -	\$ 44,885,534	\$ 1,213,265	\$ 6,300,000

MAG is allocated to subprograms and modeling

* with OA applied, 6-13-2014 estimates, with FFY 2014 actual apportionments.

**Final payment 2016.

Includes Air Quality Projects (UPWP Listings)

Air Quality Program

- CMAQ funds are identified via The Regional Transportation Plan (RTP) for distribution to the Air Quality Program in FY 2014-2018.
- Regional Rideshare, PM-10 Street sweepers, and Travel & Trip Reduction programs total: \$9.5 million.



Competitive and Open to Any MAG Planning Area

Estimated based on Federal Allocations

Year	Estimated SUB ALLOCATIONS*		MAG Planning Programs*		
	HSIP-MAG	Transportation Alternatives (TA-MAG)	Traffic Signal Optimization Program (TSOP)	Planning Studies	Design Assistance Program
2014	\$ 1,810,585	\$ 4,558,524	\$ 300,000	Varies by year	\$ 300,000
2015	\$ 1,805,241	\$ 4,545,071	\$ 300,000	Varies by year	\$ 400,000
2016	\$ 1,805,241	\$ 4,545,071	\$ 300,000	Varies by year	\$ 400,000
2017	\$ 1,805,241	\$ 4,545,071	\$ 300,000	Varies by year	\$ 400,000
2018	\$ 1,805,241	\$ 4,466,481	\$ 300,000	Varies by year	\$ 400,000

Planning programs have a blending of funding

* with OA applied, 6-13-2014 estimates, with FFY 2014 actual apportionments

What Programs are not included?

- Regional Transportation Plan **Freeway Program** (RTP-FP, *Life Cycle Program*) (Freeway Management System is included as CMAQ)
- Transit *Life Cycle Program* (CMAQ Flex funding)
- Arterial *Life Cycle Program* (Federal fund policies are outlined in the ALCP Policies and Procedures).

Five Requirements & Recommendations

- That the overall process needs to be clearly identified with milestones established to monitor progress.
- A project tracking system is in place that will provide project status information from project inception to completion of construction. Status Reports will be generated and made public through the committee process.
- Allowing a more dynamic and flexible obligation process to allow projects to advance in the MAG Transportation Improvement Program (TIP) based on project readiness.
- Establishing policies that encourage timely project development activities, recognizes normal delays in the project development process, and provide remedial action for projects that are not making adequate progress.
- Clearly understood options related to use and programming of unused federal funds due to lower project costs and deleting projects, and clearly defined options related to types of project changes.

Committee Tasks: Review & Address

- The readability of the Guidelines as now written,
- deferment policies and project tracking,
- closeout policies, in particular, with regard to ADOT deadlines and decisions to sweep federal funding, and
- the inclusion of MAP-21 performance and asset management systems in the closeout process.

Timeline

- Street Committee review and recommendations: August 2014 – March 2015
- Manager's Working Group: April 2015
- TRC: April 2015, Management and Regional Council: May to June 2015.
- Application updates: July 2015.
- Call For Projects Announced: August 2015.

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