

## Consolidated Planning Grant (CPG) Program

Revised (12/27/2019) with Responses from FTA and FHWA to questions 6-9

Revised (1/24/2020) questions #10

### Q & A

1. **Question** - Can ADOT reach out to Ted Matley, Director of Planning and Programming Development, to confirm FTA Region 9 support for Arizona converting to the CPG program?

*Answer - FTA would support a CPG for AZ if ADOT requests it and FHWA AZ agrees (email dated October 24, 2019 from Ted Matley).*

2. **Question** - The MPO's want some assurance that FTA will continue to actively participate in the review of UPWPs and continue to be a partner going forward. What will FTAs oversight be of the UPWP? (e.g. reviews, modifications, audit, etc.

*Answer - As the MPO Planning process and review and approval of the OWP's is a joint activity of FHWA and FTA, nothing would change as far as FTA's involvement in the program review and oversight.*

*The only difference is that FTA would transfer its MPO Planning funds to FHWA who would award a grant to fund the implement on of the approved OWP's with FHWA and FTA funds, instead of ADOT having two grants, one with FHWA and one with FTA. It's supposed to streamline the grant process since the two funding sources support the same program and activities.*

*FTA would continue to work with FHWA to review new OWP's and amendments per the MOU between the two agencies, which we will modify as needed to reflect the CPG arrangement. (email dated October 24, 2019 from Ted Matley).*

3. **Question** - Can a MOU or Letter of Agreement be done to outline what FTA oversight will entail?

*Answer - Nothing would change as far as FTA's involvement in the program review and oversight. An agreement between FHWA/FTA would be amended to reflect the CPG program.*

4. **Question** - Can SPR funding be included in the CPG program?

*Answer – No, SPR is not included in the sliding scale.*

5. **Question** – For transparency purposes, can ADOT provide an accounting statement annually on how funds are being distributed to all MPOs?

*Answer – Yes, ADOT MPD should be able to provide this accounting report.*

**6. Question** – Will the UPWP still require both certifications from FHWA and FTA?

**Answer** – *Yes, but, per an agreement between FHWA and FTA, we will coordinate reviews and FHWA will send an UPWP approval letter – signed by FHWA also on behalf of FTA – to ADOT with a copy to the MPOs and FTA. Response from Darin Allan, FTA, December 17, 2019.*

**7. Question** – Will RPF/RFQ require both FHWA and FTA language?

**Answer** – *No FHWA will manage the grant per 23 CFR Part 450 FHWA Procedures. Response from Darin Allan, FTA, December 17, 2019.*

**8. Question** – Can the FTA 5307 funds for planning be converted to the CPG program?

**Answer** – *No. Whereas 5307 funds may be used for planning activities, they can only be obligated in a grant with FTA. Response from Darin Allan, FTA, December 17, 2019.*

**9. Question** – Will ADOT consider a 4-year (2 UPWP cycles) pilot of CPG program and revisit with MPOs to see if the program is continued?

**Answer** – *FHWA thinks our approach to a 4 year pilot is good as that gives two full cycles to test. Response from Ed Stillings, FHWA, December 17, 2019. FTA had no comment or challenge with this.*

**10. Question** – What is the impact on reporting and budget documents?

**Answer** – *ADOT recommends that reporting and budgeting will still identify if the funding is PL or Transit Planning funds. This will allow ADOT and the MPOs to clearly track funds allocated and uses.*

## Pros

- 5.7 % vs 20% matching funds
- 100 % of apportionment is available
- FTA 5305e Planning Funds can also be included in the CPG program
- Fewer grants to manage
- COGs would benefit when applying for the 5305e Planning funds with the 5.7% match
- Flexibility on how to spend the funding
- Government shutdowns would not affect the ADOT transit and reimbursements

## Cons

- MPOs will need to remember to perform all needed transit planning activities for their region.
- PL in-kind match does not carry forward but the 5305d/e in-kind does carryforward.
- Consolidating the grants will make it harder to track the funds and maintain the accuracy of the accounting. A better accounting process from ADOT will address this challenge.

# Consolidated Planning Grant (CPG) Program

Q & A from ADOT MPD Meeting with MAG 1/17/2020

## Highlights

- ADOT will share the following with the COGs and MPOs each year: apportionment letters, the formula to distribute the funding, set asides noted, and the total award for each agency with separate totals for each funding source.

*ADOT (Transit) Response: Transit committed to providing this information. See attached. I have forwarded your request to Clem for comment in regards to your other funding.*

- The funding sources, such as PL and transit 5305/04/03, will be coded and tracked separately by ADOT. There are also FTA specific requirements for distribution, and maybe some for FHWA funding.

*ADOT Response: Both FTA and FHWA require that the approved formulas for funding distribution must be used.*

- Agencies may charge against the pooled funding but all expenditures will be tracked separately by source by ADOT. Reports will be pulled by ADOT to offer an accurate and transparent accounting of what was billed to each funding source by agency.
- Agencies will be able to reconcile their balances and charges by funding source on an ongoing basis through e-grants.
- ADOT will post monthly statements to the website.
- ADOT will pull a monthly statement with detail by invoice and the funding sources coded separately so each agency can check their balances and charges. Any discrepancies will be resolved quickly.
- Each agency will receive a projection of their award totals in December each year from ADOT. These totals will be updated and adjusted as needed as funding is confirmed. The projections will assist the agencies in developing their budgets and work programs. Revenue actuals will be provided will be provided once finalized.
- The templates or required reporting elements will not change as a result of the consolidated planning grant.
- The allocations will not change as a result of the consolidated planning grant.

## Remaining Questions

- The highest priority is to develop a process that transparently and accurately shows the total funding available and the allocations for all entities receiving funding. The process needs to include robust communication about any allocations being taken from the top before the formulas are applied to allocate the rest of the funding.

*ADOT (Transit) Response: I do not see this as question for Consolidated Planning only. In our discussion it was apparent that even under our current process you are requesting information regarding funding allocations and the funds that ADOT receives. As I expressed in our meeting no funds are "taken from the top" for 5305 (d) as the appropriation as posted from the FTA must be distributed to MPOs in the state. I have forwarded your request to Clem for comment in regards to your other funding.*

- ADOT is researching if sub recipients can pull reports from e-grants on their balances and charges. Invoices are currently accessible, but the reporting function is unknown at this time.

*ADOT Response: Currently MPOs cannot pull reports from E-Grants. MPOs can see their current funding balances on the most recent reimbursement request. ADOT staff can pull reports from E-Grants identifying the MPO, fund type, Federal match ratio, Total Project Cost, Federal Award Amount, Local Match Amount, Project Title, Project Number, Dollars Expended, Balance Remaining, Funding Period and ADOT procured (Y/N).*

- We didn't discuss this at the meeting, but I would like to see if the agreement to participate in the consolidated planning grant could be for one year with an evaluation and decision to participate again.

*ADOT Response: The COG MPO ADOT working group already discussed that the consolidated planning grant would be a pilot and would be implemented to 2, two year work program cycles. One year would not provide sufficient time to determine if it is working and any challenges that may exist.*



Multimodal Planning

Douglas A. Ducey, Governor  
John S. Halikowski, Director  
Dallas Hammit, State Engineer  
Gregory Byres, Division Director

April 15, 2019

Mr. Eric Anderson, Executive Director  
Maricopa Association of Governments  
302 N. 1<sup>st</sup> Avenue, Suite 300  
Phoenix, AZ 85003

Subject: FTA Section 5305(d) funding for FFY 2019

Dear Mr. Anderson,

The Federal Transit Administration has allocated the annual apportionment of Metropolitan Planning Program – Section 5305(d) (Transit Planning Funding) grant funds for FFY 2019 to the State of Arizona. Under this program, Maricopa Association of Governments is eligible to receive **\$1,903,340**.

The amount and the detailed budget should be included in the FY 2020 Unified Planning Work Program (UPWP) and will be available for use pending FTA grant approval. This funding is anticipated to be available for use in October of 2019. Below is your FFY 2019 designated Section 5305(d) funding for Work Program FY 2020:

<u>Federal Portion</u>	<u>Local Match</u>	<u>Total Amount</u>
<b>\$1,903,340</b>	<b>\$475,835</b>	<b>\$2,379,176</b>

ADOT will notify you when the funding is available for expenditure. Please coordinate with Sara Allred, the Transit Planning Program Manager, regarding in-kind match. If you have any questions, please do not hesitate to contact me at (602) 712-8243.

Sincerely,



Jill Dusenberry  
Transit Group Manager  
Arizona Department of Transportation

cc: Darin Allan, FTA  
Clem Ligocki, ADOT

Gregory Byres, ADOT  
Sara Allred, ADOT

Teri Kennedy, MAG  
Angela Ringor, ADOT

## AZ Metropolitan Planning Apportionments

5305(d) Full FTA Apportionment 2018/2019					\$ 2,626,801.00
	Population	Percentage	FTA Distribution	Total	Local Match
CYMPO	134,141	2.121%	\$55,719	\$69,648	\$13,930
FMPO	93,390	1.477%	\$38,792	\$48,490	\$9,698
LHMPO	58,806	0.930%	\$24,425	\$30,532	\$6,106
SCMPO	124,543	1.969%	\$51,732	\$64,665	\$12,933
SVMPO	71,419	1.129%	\$29,666	\$37,082	\$7,416
MAG	4,582,241	72.458%	\$1,903,340	\$2,379,176	\$475,835
PAG	1,034,201	16.354%	\$429,579	\$536,974	\$107,395
YMPO	225,212	3.561%	\$93,547	\$116,934	\$23,387
Total	6,323,953	100.000%	\$2,626,801	\$3,283,501	\$656,700

In-kind match source:

All local match may be covered by time from MPO member agency staff and official non-federal funded time.

Any remaining costs will be covered by cash match.

Apportionment	MPO Funding	Statewide
2019   Arizona	2,626,801	523,880

**FEDERAL TRANSIT ADMINISTRATION**

**TABLE 2**

*Updated August 30, 2019*

**FY 2019 FULL YEAR SECTION 5303 and 5304 METROPOLITAN PLANNING PROGRAM AND STATEWIDE  
AND NON-METROPOLITAN PLANNING AND RESEARCH PROGRAM APPORTIONMENTS**

*The total available amount for a program is based on funding authorized by The Fixing America's Surface Transportation Act (FAST) and The Consolidated Appropriations Act, 2019 (Pub. L. 116-6) .*

<b>STATE</b>	<b>SECTION 5305(d) APPORTIONMENT</b>	<b>SECTION 5305(e) APPORTIONMENT</b>
Alabama	\$911,627	\$238,028
Alaska	457,912	119,571
Arizona	2,626,801	523,880
Arkansas	458,850	119,571
California	17,215,205	3,436,651
Colorado	1,921,311	395,687
Connecticut	1,188,697	310,367
Delaware	457,912	119,571
District/Col	457,912	119,574
Florida	8,243,580	1,682,868
Georgia	3,255,233	648,406
Hawaii	457,912	119,571
Idaho	457,912	119,571
Illinois	5,457,195	1,050,330
Indiana	1,860,924	392,730
Iowa	497,493	129,897
Kansas	674,611	146,527
Kentucky	753,347	182,059
Louisiana	1,090,048	284,616
Maine	457,912	119,571
Maryland	2,574,842	493,691
Massachusetts	3,061,336	605,251
Michigan	3,210,227	671,529
Minnesota	1,659,066	314,877
Mississippi	457,912	119,571
Missouri	1,779,293	347,022
Montana	457,912	119,571
Nebraska	457,912	119,571
Nevada	1,263,801	245,800
New Hampshire	457,912	119,571
New Jersey	4,429,152	830,168
New Mexico	457,912	119,571
New York	8,364,014	1,639,692
North Carolina	2,253,303	535,653
North Dakota	457,912	119,571
Ohio	3,712,148	771,286
Oklahoma	673,368	175,819
Oregon	1,193,092	244,999
Pennsylvania	4,458,845	918,982
Puerto Rico	1,692,367	345,990
Rhode Island	548,398	119,571
South Carolina	1,023,286	264,106
South Dakota	457,912	119,571
Tennessee	1,505,239	353,231
Texas	9,682,755	1,939,601
Utah	1,054,113	229,649
Vermont	457,912	119,571
Virginia	2,817,539	571,609
Washington	2,560,958	516,069
West Virginia	457,913	119,571
Wisconsin	1,479,468	324,842
Wyoming	457,913	119,571
<b>TOTAL</b>	<b>\$114,478,126</b>	<b>\$23,914,193</b>