

Before Starting the Project Application

To ensure that the Project Application is completed accurately, ALL project applicants should review the following information BEFORE beginning the application.

Things to Remember:

- Only Collaborative Applicants may apply for CoC Planning funds using this application, and only one CoC Planning application may be submitted during the FY 2019 CoC Program grant competition.
- Additional training resources can be found on the HUD Exchange at <https://www.hudexchange.info/e-snaps/guides/coc-program-competition-resources/>
- Program policy questions and problems related to completing the application in e-snaps may be directed to HUD via the HUD Exchange Ask A Question.
- Project applicants are required to have a Data Universal Numbering System (DUNS) number and an active registration in the Central Contractor Registration (CCR)/System for Award management (SAM) in order to apply for funding under the Continuum of Care (CoC) Program Competition. For more information see the FY 2019 CoC Program Competition NOFA.
- To ensure that applications are considered for funding, applicants should read all sections of the FY 2019 CoC Program Competition NOFA.
- Detailed instructions can be found on the left menu within e-snaps and on the HUD Exchange. They contain comprehensive instructions and should be used in tandem with the navigational guides, which are also found on the HUD Exchange.
- Before completing the project application, all project applicants must complete or update (as applicable) the Project Applicant Profile in e-snaps, particularly the Authorized Representative and Alternate Representative forms as HUD uses this information to contact you if additional information is required (e.g., allowable technical deficiency).
- HUD reserves the right to reduce or reject any new or renewal project that fails to adhere to 24 CFR Part 578 and application requirements set forth in the FY 2019 CoC Program NOFA.

1A. SF-424 Application Type

1. Type of Submission:

2. Type of Application: CoC Planning Project Application

If Revision, select appropriate letter(s):

If "Other", specify:

3. Date Received: 09/25/2019

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

6. Date Received by State:

7. State Application Identifier:

1B. SF-424 Legal Applicant

8. Applicant

a. Legal Name: Maricopa Association of Governments

b. Employer/Taxpayer Identification Number (EIN/TIN): 23-7151608

c. Organizational DUNS:	149111213	PLUS 4	
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d. Address

Street 1: 302 N. 1st Avenue Ste. 300

Street 2:

City: Phoenix

County: Maricopa

State: Arizona

Country: United States

Zip / Postal Code: 85003

e. Organizational Unit (optional)

Department Name: Human Services

Division Name:

f. Name and contact information of person to be contacted on matters involving this application

Prefix: Ms.

First Name: Anne

Middle Name:

Last Name: Scott

Suffix:

Title: Human Services Planner III

Organizational Affiliation: Maricopa Association of Governments

Telephone Number: (602) 254-6300

Extension:

Fax Number: (602) 254-6490

Email: ascott@azmag.gov

1C. SF-424 Application Details

9. Type of Applicant: N. Nonprofit without 501C3 IRS Status

10. Name of Federal Agency: Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Title: CoC Program

CFDA Number: 14.267

12. Funding Opportunity Number: FR-6300-N-25

Title: Continuum of Care Homeless Assistance Competition

13. Competition Identification Number:

Title:

1D. SF-424 Congressional District(s)

14. Area(s) affected by the project (state(s) only): Arizona
(for multiple selections hold CTRL+Key)

15. Descriptive Title of Applicant's Project: AZ 502 CoC Planning Application FY 2019

16. Congressional District(s):

- a. Applicant:** AZ-005, AZ-004, AZ-003, AZ-007, AZ-008, AZ-006, AZ-009, AZ-001
 - b. Project:** AZ-005, AZ-004, AZ-003, AZ-007, AZ-008, AZ-006, AZ-009, AZ-001
- (for multiple selections hold CTRL+Key)

17. Proposed Project

- a. Start Date:** 12/01/2020
- b. End Date:** 11/30/2021

18. Estimated Funding (\$)

- a. Federal:**
- b. Applicant:**
- c. State:**
- d. Local:**
- e. Other:**
- f. Program Income:**
- g. Total:**

1E. SF-424 Compliance

19. Is the Application Subject to Review By State Executive Order 12372 Process? b. Program is subject to E.O. 12372 but has not been selected by the State for review.

If "YES", enter the date this application was made available to the State for review:

20. Is the Applicant delinquent on any Federal debt? No

If "YES," provide an explanation:

1F. SF-424 Declaration

By signing and submitting this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

I AGREE:

21. Authorized Representative

Prefix: Mr.

First Name: Eric

Middle Name:

Last Name: Anderson

Suffix:

Title: Executive Director

Telephone Number: (602) 254-6300
(Format: 123-456-7890)

Fax Number: (602) 254-6490
(Format: 123-456-7890)

Email: eanderson@azmag.gov

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 09/25/2019

1G. HUD 2880

Applicant/Recipient Disclosure/Update Report - form HUD-2880
U.S. Department of Housing and Urban Development
OMB Approval No. 2506-0214 (exp.02/28/2022)

Applicant/Recipient Information

1. Applicant/Recipient Name, Address, and Phone

Agency Legal Name: Maricopa Association of Governments

Prefix: Mr.

First Name: Eric

Middle Name:

Last Name: Anderson

Suffix:

Title: Executive Director

Organizational Affiliation: Maricopa Association of Governments

Telephone Number: (602) 254-6300

Extension:

Email: eanderson@azmag.gov

City: Phoenix

County: Maricopa

State: Arizona

Country: United States

Zip/Postal Code: 85003

2. Employer ID Number (EIN): 23-7151608

3. HUD Program: Continuum of Care Program

4. Amount of HUD Assistance Requested/Received: \$795,151

(Requested amounts will be automatically entered within applications)

5. State the name and location (street address, city and state) of the project or activity: AZ 502 CoC Planning Application FY 2019 302 N. 1st Avenue Ste. 300 Phoenix Arizona

Refer to project name, addresses and CoC Project Identifying Number (PIN) entered into the attached project application.

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? Yes
 (For further information, see 24 CFR Sec. 4.3).

2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9. Yes

Part II Other Government Assistance Provided or Requested/Expected Sources and Use of Funds

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/Local Agency Name and Address	Type of Assistance	Amount Requested / Provided	Expected Uses of the Funds
N/A	N/A	\$0.00	N/A
N/A	N/A	\$0.00	N/A
N/A	N/A	\$0.00	N/A
N/A	N/A	\$0.00	N/A
N/A	N/A	\$0.00	N/A

Part III Interested Parties

You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a	Financial Interest	Financial Interest
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reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation	in Project/Activity (\$)	in Project/Activity (%)
N/A	N/A	N/A	\$0.00	0%
N/A	N/A	N/A	\$0.00	0%
N/A	N/A	N/A	\$0.00	0%
N/A	N/A	N/A	\$0.00	0%
N/A	N/A	N/A	\$0.00	0%

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional nondisclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

I AGREE:

Name / Title of Authorized Official: Eric Anderson, Executive Director

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 09/25/2019

1H. HUD 50070

HUD 50070 Certification for a Drug Free Workplace

Applicant Name: Maricopa Association of Governments

Program/Activity Receiving Federal Grant Funding: CoC Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:	
a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.	e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
b. Establishing an on-going drug-free awareness program to inform employees --- (1) The dangers of drug abuse in the workplace (2) The Applicant's policy of maintaining a drug-free workplace; (3) Any available drug counseling, rehabilitation, and employee assistance programs; and (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.	f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted --- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;	g. Making a good faith effort to continue to maintain a drugfree workplace through implementation of paragraphs a. thru f.
d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will --- (1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;	

Sites for Work Performance.

The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Workplaces, including addresses, entered in the attached project application. Refer to addresses entered into the attached project application.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I

X

acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Authorized Representative

Prefix: Mr.

First Name: Eric

Middle Name

Last Name: Anderson

Suffix:

Title: Executive Director

Telephone Number: (602) 254-6300
(Format: 123-456-7890)

Fax Number: (602) 254-6490
(Format: 123-456-7890)

Email: eanderson@azmag.gov

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 09/25/2019

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file

the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate:

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Applicant's Organization: Maricopa Association of Governments

Name / Title of Authorized Official: Eric Anderson, Executive Director

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 09/25/2019

1J. SF-LLL

DISCLOSURE OF LOBBYING ACTIVITIES
Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352.
Approved by OMB0348-0046

HUD requires a new SF-LLL submitted with each annual CoC competition and completing this screen fulfills this requirement.

Answer "Yes" if your organization is engaged in lobbying associated with the CoC Program and answer the questions as they appear next on this screen. The requirement related to lobbying as explained in the SF-LLL instructions states: "The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action."

Answer "No" if your organization is NOT engaged in lobbying.

Does the recipient or subrecipient of this CoC grant participate in federal lobbying activities (lobbying a federal administration or congress) in connection with the CoC Program? No

Legal Name: Maricopa Association of Governments
Street 1: 302 N. 1st Avenue Ste. 300
Street 2:
City: Phoenix
County: Maricopa
State: Arizona
Country: United States
Zip / Postal Code: 85003

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I certify that this information is true and complete.

X

Authorized Representative

Prefix: Mr.

First Name: Eric

Middle Name:

Last Name: Anderson

Suffix:

Title: Executive Director

Telephone Number: (602) 254-6300
(Format: 123-456-7890)

Fax Number: (602) 254-6490
(Format: 123-456-7890)

Email: eanderson@azmag.gov

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 09/25/2019

2A. Project Detail

1a. CoC Number and Name: AZ-502 - Phoenix, Mesa/Maricopa County CoC
1b. Collaborative Applicant Name: Maricopa Association of Governments

2. Project Name: AZ 502 CoC Planning Application FY 2019

3. Component Type: CoC Planning Project Application

2B. Project Description

1. Provide a description that addresses the entire scope of the proposed project and how the Collaborative Applicant will use grant funds to comply with the provisions of 24 CFR 578.7.

With the requested funds, MAG will maintain 4.2 full-time dedicated CoC staff & engage consultants to complete prioritized projects identified by the CoC Board. The CoC staff will be responsible for meeting the requirements of the HEARTH Act, including but not limited to: CoC coordination activities, project evaluation, project monitoring activities, participation in the consolidated plan, CoC application activities, & HUD compliance activities. In addition, staff are responsible for planning, implementing, & analyzing the results of an annual PIT homeless count; coordinating with ESG & other funders; coordinating efforts to ensure connection with mainstream resources; developing, implementing & overseeing a regional Coordinated Entry System; developing, implementing & monitoring the progress of a Regional Plan to End Homelessness; identifying & providing technical assistance opportunities to improve system & program performance; & other coordination activities identified by the CoC Board. Increased staff capacity is dedicated to developing & implementing a program monitoring protocol, complete monitoring activities, & reporting on project level performance outcomes. Staff have scheduled community training on diversion, youth assessment via the TAY-SPDAT, and family & singles assessment via the SPDAT. Upcoming training is scheduled on Motivational Interviewing, Trauma-Informed Care, Housing-based Case Management, Cultural Competency, Fair Housing, Housing First, & other areas. We are partnering with two state agencies so that approximately 15 separate training areas will be available for homeless service providers in the region. In addition, funding will be used to hire a consultant to evaluate racial equity in the provision of homeless services & representation within community stakeholders. Other planned projects include TA to CoC funded programs to: increase performance, ensure compliance with HUD policies & regulations, develop move-on strategies, & increase capacity to meet goals. Additional staff have enhanced our coordination with Con Plan jurisdictional areas & with connecting mainstream resources to the CoC. This funding allows staff to complete CoC application activities, implement a local process to rank & review all new and renewal project applications, complete the consolidated application, and ensure data needed for the application is complete and submitted in a timely manner. This funding request includes labor for 4.2 full-time dedicated staff, overhead, consulting projects, materials, meeting room space, copies, equipment, and training and educational opportunities necessary to comply with the HEARTH Act. We will engage stakeholders in the CoC to accomplish these tasks. The funding request will ensure the CA has the capacity to meet the goals to end homelessness in the region.

2. Describe the estimated schedule for the proposed activities, the management plan, and the method for assuring effective and timely completion of all work.

During the 1st quarter of 2020, the CoC will develop a scope of work for

consulting projects, monitor low scoring projects, conduct compliance activities, perform coordination activities, and complete project evaluation activities. The Board will identify projects for completion by consultants. The Collaborative Applicant will begin the process of hiring consultants. 1st quarter activities will also include debriefing stakeholders on the results of application process, the rank and review process, and performance evaluation tools. The PIT and HIC count will be completed in the 2nd quarter and analysis of the results will begin. 3rd and 4th quarter activities include continuation of 1st quarter activities, implementing consulting projects, conducting monitoring and data analysis, further coordinating a plan to end homelessness, participating in consolidated plan activities, completing the Consolidated Application, and ensuring compliance with HUD policies and regulations. 4th quarter activities include completing consulting projects, completing compliance activities outlined for the year, completing annual program evaluation and monitoring activities, continuing coordination efforts, planning for the annual PIT count, completing the NOFA application, and evaluating of system-wide performance measures. The CoC Board will receive reports on the progress made by the Collaborative Applicant to complete tasks. The Collaborative Applicant oversees the management of staff dedicated to the CoC funded by this project. The staff offers reports to the CoC Board and takes items to the Board for review and approval. Overall direction for the improvement of system wide performance measures is provided by the CoC Board.

3. How will the requested funds improve or maintain the CoC's ability to evaluate the outcome of CoC and ESG projects?

The requested funds will allow the CoC to maintain staffing and dedicate one full-time staff that will be responsible for outcome evaluation and monitoring of programs funded by the CoC in coordination with ESG recipients in the community. We currently have an ESG Subcommittee that meets on a quarterly basis and funds will be used to further staff the work of that group with a goal of coordinating on the evaluation of CoC and ESG funded programs. ESG recipients will collaborate on outcome measurements and common scopes of work. Currently, three of the five ESG recipients share a common scope of work. The other two recipients are working to align their contracts with the region; however, differing funding cycles require phased implementation of the strategy. A common ESG performance evaluation report is in development within HMIS as the foundation for this effort. This past year, the group agreed to adhere to the Regional Financial Assistance Standards for Rapid Re-Housing programs. The group worked with local governments and the United Way to adopt the RRH Financial Assistance Standards as well. This funding will increase our capacity to delve deeper into the coordination of the CoC Program, ESG funding and other funders, which will allow us to align outcome measurement efforts and ultimately improve the performance of programs to better meet the needs of those being served and the priorities of the region. The performance and monitoring staff will work closely with the ESG jurisdictions as well as other stakeholders to identify the gaps and develop solutions to meet the needs of homeless individuals and families in the CoC. The requested funds provide resources to staff the ESG Subcommittee, which is responsible for convening to align the ESG outcome evaluation protocol, coordinate funding goals and performance standards, and monitor projects.

4. How will the planning activities continue beyond the expiration of HUD financial assistance?

The CoC planning activities take place year round and will continue beyond the expiration of the financial assistance provided by HUD. Planning activities are supported by the jurisdictions in the CoC as well as leveraged by the CoC stakeholders throughout the region including the CoC Board. The Maricopa Association of Governments has been the Lead Agency for the CoC since 1999 and is a dedicated partner to this effort. The Collaborative Applicant has been able to successfully coordinate the competition for new and renewal projects over the years and will continue to prioritize this work. These CoC Planning Funds allow us to increase our capacity so that we can continue to be a successful CoC, meet the goals of the Regional Plan to End Homelessness, provide educational and training opportunities for staff and CoC funded providers and ensure compliance with the HEARTH Act. The Collaborative Applicant, in coordination with the CoC Board, will ensure that the region has an effective homeless system that meets the needs of the homeless men, women and children in our CoC community.

3A. Governance and Operations

1. How often does the CoC conduct meetings of the full CoC membership? Monthly

2. Does the CoC include membership of a homeless or formerly homeless person? Yes

2a. For members who are homeless or formerly homeless, what role do they play in the CoC membership? (Select all that apply)

Participates in CoC meetings:	<input checked="" type="checkbox"/>
Votes, including electing Coc Board:	<input checked="" type="checkbox"/>
Sits on CoC Board:	<input checked="" type="checkbox"/>
None:	<input type="checkbox"/>

3. Does the CoC's governance charter incorporate written policies and procedures for each of the following

a. Written agendas of CoC meetings? Yes

b. Coordinated Entry? (Also known as centralized or coordinated assessment) Yes

c. Process for monitoring outcomes of ESG recipients? Yes

d. CoC policies and procedures? Yes

e. Written process for board selection? Yes

f. Code of Conduct for board members that includes a recusal process? Yes

g. Written standards for administering assistance? Yes

4. Were there any written complaints received by the CoC in relation to project review, project selection, or other items related to 24 CFR 578.7 or 578.9 within the past 12 months? No

3B. Committees

Provide information for up to five of the most active CoC-wide planning committees, subcommittees and/or workgroups, to address homeless needs in the CoC's geographic area that recommend and set policy priorities for the CoC, including a brief description of the role and the frequency of the meetings. Only include committees, subcommittees and/or workgroups, that are directly involved in CoC-wide planning and not the regular delivery of services.

Name of Group	Role of the Group (max 750 characters)	Meeting Frequency	Name of Individuals and/or Organizations Represented
Maricopa Regional CoC Board	The Board is the policy setting & decision-making body for CoC. Roles & responsibilities include, but are not limited to: 1) hold meetings; 2) invite new members; 3) adopt process to select Board, 4) establish additional committees & subcommittees, as necessary, & ensure appropriate membership representation; 5) develop, follow, & annually update governance charter in consultation with MAG staff & HMIS lead; 6) designate a single HMIS for the region; 7) designate an eligible applicant to manage HMIS; 8) recognize accomplishments, provide support to & take appropriate action on the performance of CoC funded projects; and, 9) make strategic planning & policy decisions for the CoC.	Monthly	PD, CE, Family Provider, Single/Youth Provider, Formerly Homeless, Cnty Workforce & Human Services, Phx PHA/Human Services, AZ State, downtown business coalition, Healthcare Plan, Vet Rep, Glendale PHA/Human Services, & Jails. Child Welfare: vacant.
Maricopa Regional CoC Committee	The Committee is a collaborative of cross-sector stakeholders providing housing & services to people experiencing homelessness. Roles & responsibilities include: 1) provide input on systems level data dashboard to identify areas of success & need; 2) update annually written standards for providing HUD assistance; 3) provide input on the coordination of the housing service system; 4) contribute content & feedback to the regional plan to end homelessness; 5) guide the scope of the working groups, based on the regional plan; 6) propose clarification to definitions so that a common set of terms are used; 7) support participation in HMIS; 8) support transparency of data; and 9) contribute feedback on evaluation tools.	Monthly	Please refer to the attached membership roster. The CoC Committee includes a robust membership roster, not all organizations will fit in this space.

Data Subcommittee	The CoC Data Subcommittee provides input to the Board on policies related to HMIS. Roles & responsibilities include: 1) ensure programmatic areas are measured using HMIS for dashboards; 2) recommend approval of a privacy, security & data quality plan for HMIS, which includes HUD-covered coordinated entry processes; 3) recommend HMIS policies and procedures, which includes HUD covered coordinated entry processes & a data sharing policy; 4) support data transparency for peer review purposes; 5) establish common definitions for data elements (example, entry and exit); 6) provide feedback to staff on data dashboard for presentation to the Board & HMIS evaluation tool; and, 8) provide feedback to staff on the feasibility of scorecard metrics.	Monthly	ESG rep, HMIS user, CE Lead, Family Provider, Single/Youth Provider, funder, Outreach Provider, HMIS Lead & Collaborative Applicant (non-voting).
Coordinated Entry Subcommittee	The Coordinated Entry Subcommittee makes recommendations to the Board on guidelines for the Coordinated Entry System. Roles & responsibilities include: 1) recommend to the Board written standards for determining & prioritizing eligibility criteria for individuals & families; 2) recommend policies to comply with HUD notices on coordinated entry; 3) facilitate the integration of other systems of care, such as veterans, justice system, healthcare / behavioral health (including substance abuse), & domestic violence system; 4) use data to inform policy changes; and, 5) provide feedback to staff on monitoring & evaluation.	Monthly	CASS (largest ES), health care plan, Outreach Provider, Mobile CE Access Point, DV provider, County Correctional Health Services, HMIS, CE Lead, ESG rep, Single/Youth Provider, and Veteran Rep.
Rank and Review Subcommittee	The Review and Rank Subcommittee will review and evaluate all CoC project applications submitted in the local competition. Roles & responsibilities include: 1) meet to discuss each application and conduct short, voluntary interviews with applicants either in person, by phone, or video conference; 2) present one or more options to the CoC Board in a public meeting & articulate the potential pros, cons, & impact of each recommendation; and, 3) give feedback to applicants on quality of application & ways to strengthen the application before submission to HUD.	Annually	City Rep, County Rep, Healthcare Plan, and non-conflicted provider.

4A. Sources of Match

The following list summarizes the funds that will be used as Match for the project. To add a Matching source to the list, select the  icon. To view or update a Matching source already listed, select the  icon.

Summary for Match

Total Value of Cash Commitments:	\$0
Total Value of In-Kind Commitments:	\$200,000
Total Value of All Commitments:	\$200,000

1. Does this project generate program income as described in 24 CFR 578.97 that will be used as Match for this grant? No

Before grant execution, services to be provided by a third party must be documented by a memorandum of understanding (MOU) between the recipient or subrecipient and the third party that will provide the services.

Match	Type	Source	Contributor	Date of Commitment	Value of Commitments
Yes	In-Kind	Government	City of Phoenix	09/16/2019	\$72,000
Yes	In-Kind	Government	Maricopa County H...	09/20/2019	\$120,000
Yes	In-Kind	Private	Valley of the Sun...	09/25/2019	\$8,000

Sources of Match Details

- 1. Will this commitment be used towards Match? Yes
- 2. Type of commitment: In-Kind
- 3. Type of source: Government
- 4. Name the source of the commitment: City of Phoenix
(Be as specific as possible and include the office or grant program as applicable)
- 5. Date of Written Commitment: 09/16/2019
- 6. Value of Written Commitment: \$72,000

Before grant execution, services to be provided by a third party must be documented by a memorandum of understanding (MOU) between the recipient or subrecipient and the third party that will provide the services.

Sources of Match Details

- 1. Will this commitment be used towards Match? Yes
- 2. Type of commitment: In-Kind
- 3. Type of source: Government
- 4. Name the source of the commitment: Maricopa County Human Services Department
(Be as specific as possible and include the office or grant program as applicable)
- 5. Date of Written Commitment: 09/20/2019
- 6. Value of Written Commitment: \$120,000

Before grant execution, services to be provided by a third party must be documented by a memorandum of understanding (MOU) between the recipient or subrecipient and the third party that will provide the services.

Sources of Match Details

1. Will this commitment be used towards Match? Yes

2. Type of commitment: In-Kind

3. Type of source: Private

4. Name the source of the commitment: Valley of the Sun United Way
(Be as specific as possible and include the office or grant program as applicable)

5. Date of Written Commitment: 09/25/2019

6. Value of Written Commitment: \$8,000

Before grant execution, services to be provided by a third party must be documented by a memorandum of understanding (MOU) between the recipient or subrecipient and the third party that will provide the services.

4B. Funding Request

1. Will it be feasible for the project to be under grant agreement by September 30, 2021? Yes

2. Does this project propose to allocate funds according to an indirect cost rate? Yes

Indirect cost rate proposals should be submitted as soon as the applicant is notified of a conditional award and no later than three months after the award.

Conditional award recipients will be asked to submit the proposal or approved rate during the e-snaps post-award process.

**a. Please complete the indirect cost rate schedule below:
 (At least one row must be entered)**

Administering Department/Agency	Indirect Cost Rate	Direct Cost Base
Maricopa Association of Governments	144.05%	\$404,124.96

b. Has this rate been approved by your cognizant agency? Yes

c. Do you plan to use the 10% de minimis rate? No

3. Select a grant term: 1 Year

A description must be entered for Quantity. Any costs without a Quantity description will be removed from the budget.

Eligible Costs:	Quantity AND Description (max 400 characters)	Annual Assistance Requested (Applicant)
1. Coordination Activities	2.26 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, conference expenses, conference travel, and consulting.	\$341,915
2. Project Evaluation	.66 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, conference expenses, conference travel, training and consulting.	\$135,176

3. Project Monitoring Activities	.49 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, travel, training, and consulting.	\$79,515
4. Participation in the Consolidated Plan	.19 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, and consulting.	\$23,854
5. CoC Application Activities	.1 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, and consulting.	\$15,903
6. Determining Geographical Area to Be Served by the CoC		
7. Developing a CoC System	.15 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, conference expenses, conference travel, training, and consulting.	\$31,806
8. HUD Compliance Activities	.35 FTE hours, benefits and overhead for CoC dedicated staff, printing, supplies, conference expenses, conference travel, training and consulting.	\$166,982
Total Costs Requested		\$795,151
Cash Match		\$0
In-Kind Match		\$200,000
Total Match		\$200,000
Total Budget		\$995,151

Click the 'Save' button to automatically calculate the Total Assistance

5A. Attachment(s)

Document Type	Required?	Document Description	Date Attached
1. Other Attachment(s)	No	2019 CoC Committe...	09/12/2019
2. Other Attachment(s)	No	Indirect Cost Rat...	09/17/2019

Attachment Details

Document Description: 2019 CoC Committee Roster

Attachment Details

Document Description: Indirect Cost Rate Approval

5A. In-Kind MOU Attachment

Document Type	Required?	Document Description	Date Attached
In-Kind Match MOU	No	MRCoC In Kind Match	09/25/2019

Attachment Details

Document Description: MRCoC In Kind Match

5B. Certification

A. For all projects:

Fair Housing and Equal Opportunity

It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and regulations pursuant thereto (Title 24 CFR part I), which state that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance, and will immediately take any measures necessary to effectuate this agreement. With reference to the real property and structure(s) thereon which are provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer, transferee, for the period during which the real property and structure(s) are used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

It will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and with implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion, sex, disability, familial status or national origin.

It will comply with Executive Order 11063 on Equal Opportunity in Housing and with implementing regulations at 24 CFR Part 107 which prohibit discrimination because of race, color, creed, sex or national origin in housing and related facilities provided with Federal financial assistance.

It will comply with Executive Order 11246 and all regulations pursuant thereto (41 CFR Chapter 60-1), which state that no person shall be discriminated against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of Federal contracts and shall take affirmative action to ensure equal employment opportunity. The applicant will incorporate, or cause to be incorporated, into any contract for construction work as defined in Section 130.5 of HUD regulations the equal opportunity clause required by Section 130.15(b) of the HUD regulations.

It will comply with Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701(u)), and regulations pursuant thereto (24 CFR Part 135), which require that to the greatest extent feasible opportunities for training and employment be given to lower-income residents of the project and contracts for work in connection with the project be awarded in substantial part to persons residing in the area of the project.

It will comply with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and with implementing regulations at 24 CFR Part 8, which prohibit discrimination based on disability in Federally-assisted and conducted programs and activities.

It will comply with the Age Discrimination Act of 1975 (42 U.S.C. 6101-07), as amended, and implementing regulations at 24 CFR Part 146, which prohibit discrimination because of age in projects and activities receiving Federal financial assistance.

It will comply with Executive Orders 11625, 12432, and 12138, which state that program participants shall take affirmative action to encourage participation by businesses owned and operated by members of minority groups and women.

If persons of any particular race, color, religion, sex, age, national origin, familial status, or

disability who may qualify for assistance are unlikely to be reached, it will establish additional procedures to ensure that interested persons can obtain information concerning the assistance.

It will comply with the reasonable modification and accommodation requirements and, as appropriate, the accessibility requirements of the Fair Housing Act and section 504 of the Rehabilitation Act of 1973, as amended.

1-Year Operation Rule.

For applicants receiving assistance for CoC planning: the project will be operated for the purpose specified in the application for any year for which such assistance is provided.

D. Explanation.

Where the applicant is unable to certify to any of the statements in this certification, such applicant shall attach an explanation behind this page.

Name of Authorized Certifying Official: Eric Anderson

Date: 09/25/2019

Title: Executive Director

Applicant Organization: Maricopa Association of Governments

PHA Number (For PHA Applicants Only):

I certify that I have been duly authorized by the applicant to submit this Applicant Certification and to ensure compliance. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties . (U.S. Code, Title 218, Section 1001).

X

6A. Submission Summary

Page	Last Updated
1A. SF-424 Application Type	No Input Required
1B. SF-424 Legal Applicant	No Input Required
1C. SF-424 Application Details	No Input Required
1D. SF-424 Congressional District(s)	09/25/2019
1E. SF-424 Compliance	09/10/2019
1F. SF-424 Declaration	09/10/2019
1G. HUD 2880	09/10/2019
1H. HUD 50070	09/10/2019
1I. Cert. Lobbying	09/10/2019
1J. SF-LLL	09/10/2019

2A. Project Detail	09/10/2019
2B. Description	09/25/2019
3A. Governance and Operations	09/12/2019
3B. Committees	09/12/2019
4A. Match	09/25/2019
4B. Funding Request	09/25/2019
5A. Attachment(s)	09/17/2019
5A. In-Kind MOU Attachment	09/25/2019
5B. Certification	09/25/2019

2019 CoC Committee Names/Contact Info Removed

Organization	Title	Role
Community Bridges, Inc.	Director of Housing and Community Integration	CoC-Funded PSH and Outreach Provider
A New Leaf	Chief Program Officer	CoC-Funded PSH and Outreach Provider
Arizona Department of Economic Security	DES State Homeless Coordinator	CoC-Funded Joint RRH-TH DV Provider
Mercy Maricopa Integrated Health	Adult System of Care Coordinator	Healthcare
Arizona Housing Coalition	Special Initiatives Director	State Advocacy Organization
Housing Authority of Maricopa County	Director, Administration & Housing Services	PHA
U.S. VETS - Phoenix	Program Director	Veteran Services Provider
Maggie's Place	Chief Executive Officer, The Flat House	Non-CoC Funded TH Provider
Mesa Police Department	Police Officer	First Responder
Chicanos Por La Causa	Program Director	CoC-Funded RRH DV Provider
Arizona State University	Program Coordinator, Sr.	University Representative
Community Information and Referral	HMIS Director	HMIS Lead
Phoenix Elementary School District	McKinney-Vento Liaison	Schools Representative
Native American Connections	Supportive Housing Supervisor	CoC-Funded PSH Provider
UMOM New Day Centers	Chief Operating Officer	CoC-Funded CE, PSH and RRH Provider
Arizona Behavioral Health Corporation	Director of Housing	CoC-Funded PSH Provider
Save the Family	Executive Director	CoC-Funded RRH and PSH Provider
Phoenix Shanti Group	Executive Director/CEO	HOPWA Representative
Arizona Housing, Inc.	Supportive Housing Director	CoC-Funded PSH Provider
Human Services Campus	Regional Coordinated Entry Systems Supervisor	CoC-Funded CE Provider
Circle the City	Director of Outpatient Services	Non-CoC Funded Homeless Healthcare Provider



An Arizona Management System Agency

Multimodal Planning

Douglas A. Ducey, Governor
John S. Halikowski, Director
Dallas Hammit, State Engineer
Gregory Byres, Division Director

August 6, 2019

Mr. Eric Anderson
Executive Director
Maricopa Association of Governments
302 N. 1st Ave., Suite 300
Phoenix, Arizona 85003

Subject: FY 2020 Indirect Cost Allocation Plan Rate Certificate

Dear Mr. Anderson:

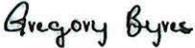
With this letter, the Multimodal Planning Division (MPD), a component of the Arizona Department of Transportation (ADOT) and your cognizant agency, acknowledges receipt of your Indirect Cost Rate Certificate for FY 2020 dated 04/25/2019.

Your organization is required to develop an indirect cost rate proposal in accordance with 2 C.F.R. part 200 and retain it with related supporting documentation for audit. For more information on this requirement, see 2 C.F.R. part 200, App. VII § D.1.b. and 2 C.F.R. § 200.333. MPD reserves the right to review this or future indirect cost rate proposals at a later time to ensure conformity with the requirements of 2 C.F.R. part 200. Typically, MPD will exercise this right if there is a relevant audit finding, a concern is raised by another government agency concerning a particular indirect cost rate, and/or if MPD finds an anomaly in an indirect cost rate proposal. In such circumstances MPD may review such an indirect cost rate proposal itself or through another Federal agency. For more information on this requirement, see 2 C.F.R. part 200, App. VII § D.1.b.

Based on the review and recommendation set forth by ADOT - Audit and Analysis, MPD is in concurrence with the recommended adjusted rate of 144.05%.

Please contact Angela Ringor at 602-712-8316 if you have any questions or concerns.

Sincerely,

DocuSigned by:

Gregory Byres, PE
Division Director

CC:

Ed Stillings, Planner, Federal Highways Administration
Roman Moreno, Financial Manager, Federal Highway Administration

Clem Logicki, Planning and Programming Manager, Multimodal Planning Division
Amy St. Peter, Deputy Executive Director, Maricopa Association of Governments
Somer Phegley, Fiscal Services Director, Maricopa Association of Governments
Arminta Syed, Accountant III, Maricopa Association of Governments



Maricopa County Human Services Department

September 20, 2019

Brande Mead, Human Services Manager
Maricopa Regional Continuum of Care
Maricopa Association of Governments
302 North 1st Avenue, Suite 300
Phoenix, Arizona 85003

Re: Letter of Commitment for Matching Funds

Dear Ms. Mead:

The Maricopa County Human Services Department is pleased to provide a commitment of in-kind matching funds in the amount of \$120,000 for the Maricopa Regional Continuum of Care Planning Grant. The source of these funds is the Maricopa County General Fund that are used to support our Homelessness Program in the Housing and Community Development Division.

We appreciate the continued partnership with the Maricopa Regional Continuum of Care towards our mutual goal of ending homelessness in the region. If you have any questions, please contact Rachel Milne, Assistant Director of Housing and Community Development, at (602) 372-1528 or Rachel.Milne@maricopa.gov.

Sincerely,

A handwritten signature in black ink that reads "Bruce Liggett".

Bruce Liggett
Director



City of Phoenix
HUMAN SERVICES DEPARTMENT

September 16, 2019

Brande Mead, Human Services Manager
Maricopa Regional Continuum of Care
Maricopa Association of Governments
302 N 1st Avenue, Suite 300
Phoenix, AZ 85003

Re: Letter of Commitment for Matching Funds

Dear Ms. Mead:

The City of Phoenix Human Services Department is pleased to provide a commitment of in-kind matching funds in the amount of \$72,000 for the Maricopa Regional Continuum of Care Planning Grant from December 1, 2020 through November 20, 2021. The source of these funds is from the Homeless Solutions Manager salary.

We appreciate the continued partnership with the Maricopa Regional Continuum of Care towards our mutual goal of ending homelessness in the region. If you have any questions, please contact Tamyra Spendley, Deputy Human Services Director, at 602-534-0576 or tamyra.spendley@phoenix.gov.

Sincerely,

Marchelle F. Franklin
Human Services Director



Valley of the Sun
United Way

September 9, 2019

Brande Mead, Human Services Manager
Maricopa Regional Continuum of Care
Maricopa Association of Governments
302 N 1st Avenue, Suite 300
Phoenix, AZ 85003

Re: Letter of Commitment for Matching Funds

Dear Mrs. Mead:

The Valley of the Sun United Way is pleased to provide a commitment of in-kind matching funds in the amount of \$8,000 for the Maricopa Regional Continuum of Care Planning Grant. The source of these funds is from salaries a Community Impact Director and Community Impact Manager.

We appreciate the continued partnership with the Maricopa Regional Continuum of Care towards our mutual goal of ending homelessness in the region. If you have any questions, please contact Krickette Wetherington, Director of Community Impact, at 480-416-0175 or kwetherington@vsuw.org.

Sincerely,

A handwritten signature in black ink, appearing to read "Krickette Wetherington".

Krickette Wetherington, MSW
Community Impact Director